

COPY

Page 1 of 2

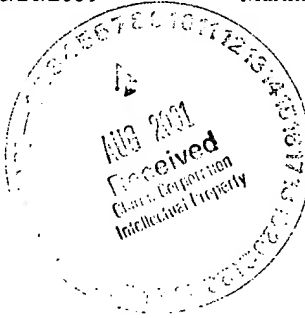
#4

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,856	06/21/2001	Martha Jo Whitehouse	PP16090.004 (35784/235886

Chiron Corporation
Intellectual Property Department
P.O. Box 8097
Emeryville, CA 94662-8097



CONFIRMATION NO. 6233

FORMALITIES LETTER



OC00000006373756

Date Mailed: 08/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

\$740.00

- The statutory basic filing fee is missing.
Applicant must submit ~~\$710~~ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fee(s) for this application is ~~\$4190~~ 1,200

\$84.00

- \$1116 for 62 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is ~~\$2036~~ 2,070

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

ALSTON & BIRD
RTA
RECEIVED
9/21/01 wld

10/10/2001 MBELETE1 00000054 09886856

01 FC:101	740.00 OP
02 FC:102	84.00 OP
03 FC:103	1116.00 OP
04 FC:105	130.00 OP

DOCKETED on/by 81661 on
LEA PA
PP16090.004
1012101 MP4
212102 MP0

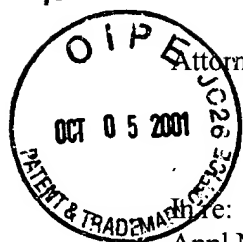
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney's Docket No. 090.004 (35784/235886)

Sector 1/4
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Whitehouse
Appl No.: 09/886,856 Confirmation No.: 6233
Filed: June 21, 2001
For: METHODS AND COMPOSITIONS FOR THE TREATMENT OF PERIPHERAL ARTERY DISEASE

October 2, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application dated August 2, 2001, enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- ☐ Applicant claims small entity status
- ☒ Check in the amount of \$2,070.00 to cover: the filing fee of \$740.00, additional claims fee of \$1,200.00, and the \$130.00 surcharge under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☒ Other: Certificate Under 37 C.F.R. §3.73(b); Copy of Assignment; and Formal Drawings

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

Leslie T. Henry

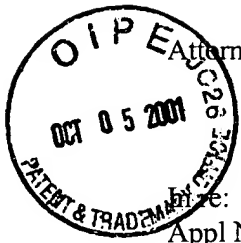
Leslie T. Henry
Registration No. 45,714

Customer No. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Raleigh Office (919) 862-2200
Fax Raleigh Office (919) 862-2260

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Box Missing Parts**, Commissioner for Patents, Washington, DC 20231, on October 2, 2001.

Polly P. Burton
Polly P. Burton



Attorney's Docket No. PP16090.004 (35784/235886)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

By: Whitehouse
Appl No.: 09/886,856 Confirmation No. 6233
Filed: June 21, 2001
For: METHODS AND COMPOSITIONS FOR THE TREATMENT OF PERIPHERAL
ARTERY DISEASE

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

**SUBMITTAL OF DECLARATION
UNDER 37 C.F.R. § 1.63**

Sir:

Enclosed is a Declaration and Power of Attorney for the above-identified patent application that has been executed by the named inventors.

A check in the amount of \$130 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

Leslie T. Henry
Registration No. 45,714

Customer No. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Raleigh Office (919) 420-2200
Fax Raleigh Office (919) 420-2260

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Box Missing Parts**, Commissioner For Patents, Washington, DC 20231, on October 2, 2001.

Polly P. Burton

RTA01/2104445v1

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed: Assistant Commissioner of Patent and Trademarks, Washington, D.C. 20231 on

~~September~~ 2, 2001.

~~October~~ Polly P. Burton
Name Polly P. Burton

10/2/01
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

MARTHA J. WHITEHOUSE et al.

Serial No.: 09/886,859

Group Art: Unassigned

Filed: June 21, 2001

Examiner: Unassigned

For: METHODS AND COMPOSITIONS FOR THE TREATMENT OF
PERIPHERAL ARTERY DISEASE

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CHIRON CORPORATION, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An Assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above
to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: _____ September 20, 2001

Name: _____ Lisa E. Alexander

Title: _____ Assistant Secretary, CHIRON CORPORATION

Signature: _____ *Lisa E. Alexander*

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94662-8097
(510) 923-2585
(510) 655-3542 (fax)



PATENT
Atty. Docket No. PP16090.004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6

In re Application of:

MARTHA J. WHITEHOUSE

Serial No.: 09/886,856

Group Art: Unassigned

Filed : June 21, 2001

Examiner: Unassigned

For : METHODS AND COMPOSITIONS FOR THE TREATMENT OF
PERIPHERAL ARTERY DISEASE

ASSIGNMENT DOCUMENT COVER LETTER

Box Assignment

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

1. The accompanying assignment covers the patent or application identified above.

X No other patents or applications are covered by the assignment.

— Other patents and/or applications are covered by the assignment as shown
on the attached LIST OF PATENTS AND/OR APPLICATIONS COVERED
BY ASSIGNMENT.

NAMES OF PARTY MAKING TRANSFER

2. Name: Martha J. Whitehouse

IDENTITY OF PARTY TO WHOM TRANSFER IS MADE

3. Transfer is being made to:

CHIRON CORPORATION
Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94608-8097

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
First Class mail in an envelope addressed to: Assistant
Commissioner for Patents, Washington, D.C. 20231,

on this 20 day of September, 2001.
By Amanda Denise Wilson

COPY ONLY
DO NOT FORWARD
TO
ASSIGNMENT BRANCH

INTENTION OF DOCUMENT

4. The accompanying document is an:

 X Assignment License
 Security Interest Other
 X Execution Date: September 19, 2001

FEE PAYMENT

5. Total fee due \$40.00

6. Charge Deposit Account No. 03-1664 in the sum of \$40.00.

 X Enclosed is a check for \$40.00.

 X The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 03-1664.
THIS IS NOT AN AUTHORIZATION FOR PAYMENT OF ANY ISSUE FEES.

**ADDRESS TO WHICH DOCUMENT SHOULD BE RETURNED AFTER RECORDAL
BY PTO**

7. Please return the recorded document to:

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Intellectual Property - R440
P.O. Box 8097
Emeryville, California 94662-8097
United States of America

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